Reporting Team issues update – May 16, 2014

The purpose of this call was to identify issues to be resolved surrounding implementation of the following portions of HB1385.

*(2) (f) Keep the agency head or, for state agencies under the jurisdiction of the Governor, the Chief Inspector General informed concerning fraud, abuses, and deficiencies relating to programs and operations administered or financed by the state agency, recommend corrective action concerning fraud, abuses, and deficiencies, and report on the progress made in implementing corrective action.*

*(5) (f) The inspector general shall submit the final report to the agency head, the Auditor General, and, for state agencies under the jurisdiction of the Governor, the Chief Inspector General.*

*(7) (c) The final reports prepared pursuant to paragraphs (a) and (b) shall be provided to the heads of the respective agencies and, for state agencies under the jurisdiction of the Governor, the Chief Inspector General.*

The committee identified the following issues:

1. Keeping the Chief Inspector General informed concerning fraud, abuse, and deficiencies
* What should the Chief Inspector General be made aware of regarding fraud, abuse and deficiencies?
* When should the Chief Inspector General be notified?
* In what form should the Chief Inspector General be notified? (i.e. phone or email)
* Who in the Chief Inspector General’s office will be the contact for investigations and audits?
* What does the Chief Inspector General’s office do when they receive the information?
* What will the escalation protocol be for reporting significant events to the Chief Inspector General and Agency Head?
1. Submission of final audit reports and annual reports
* Does the Chief Inspector General need to establish a separate email address to receive the final audit reports and annual reports?
* Should the Chief Inspector General require agencies to send their annual report and final audit reports electronically and if so, in what format (pdf,word)?
* How many days should an agency have to submit final audit reports to the Chief Inspector General, Auditor General, and Agency Head? (within \_ days of the IG approving the final audit report for distribution)
* Once the Chief Inspector General receives the final audit reports, then what, if anything, should be done with the reports?
* Annual reports are submitted to the Chief Inspector General as informational only; however, how does the Chief Inspector General ensure that agencies are in compliance with 20.055?
* Redacted or not redacted?
* A means to distinguish between more important and less important (colors?).
1. Reports not addressed in HB1385
* Since the Bill does not speak to final investigation reports, should IG’s brief the Chief Inspector General on significant findings prior to the report being issued so the Chief Inspector General is aware or is this issued covered in number 1?