



Governor's Agency IG Inventory



IG Responding (*enter name and agency*): **Walter Sachs, Department of Management Services**

Is your agency Inspector General SMS or SES? SMS SES

Our OIG staff are:

SES: Career Service: OPS: (If more than one type provide details below)

Identify the mix

- 2 Investigators – SES
- 2 Audit Supervisors – SES
- 5 Auditors – Career Service
- 1 Administrative Assistant III – Career Service

How many OIG staff, if any, are sworn law enforcement officers?

None Some (*Number of Sworn, if applicable:*) [Click here to enter text.](#)

If there are sworn staff, under what authority/whose auspices are they sworn?

[Click here to enter text.](#)

Are any expenditures associated with their sworn status absorbed by a budget entity other than the OIG's budget?

No Yes (*explain:*) [Click here to enter text.](#)

Does the IG attend the agency head's leadership meetings?

Yes

Does the IG independently identify, and have authority independent of the agency head or other agency staff, to hire OIG staff when vacancies occur?

No, the filling any vacancy requires approval from Director of Administrative Services and the Chief of Staff; the two primary leadership positions (Audit Director and Chief of Investigations) are also interviewed and approved by the Secretary.



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Does the OIG have the authority, within existing salary and rate considerations, to set OIG staff salary.

Yes No (explain): [Click here to enter text.](#)

Does the OIG have any duties outside the provisions of section 20.055, F.S.?

No Yes

If so, list (with statutory requirement cited if applicable) those duties

(examples would be Single Audit, EEO investigations, agency employee background screening, etc.)

N/A or: - Agency Employee Background Screening (Section 110.1127, F.S., and Chapter 435, F.S.)
- Retirement Compliance Auditing (Section 121.193, Florida Statutes)

How many FTE are associated with "outside" duties?

N/A or: Agency Employee Background Screening (AAIII - .60 FTE, Investigator - .10 FTE, Chief of Investigations - .15 FTE)
Retirement Compliance Auditing (4 FTEs)

What are you agency head's thoughts (if discussed) on reassigning these duties?

Not discussed or: [Click here to enter text.](#)

Does the IG independently approve all travel for staff within the OIG?

Yes No (explain): Exceptions to budgeted travel must be approved by Budget.

If no, has there been any unreasonable restrictions on authorizations to travel?

No Yes (explain): [Click here to enter text.](#)



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Does the agency have any specific statutory language affecting IG appointments or the duties of the IG?

No Yes (explain): [Click here to enter text.](#)

Does the OIG have a separately established budget entity within the department?

Yes No

Does the IG have independent expenditure authority for the OIG budget allocation?

Yes No

If no, has there been any unreasonable restrictions on expenditures requested by the IG?

No Yes (explain): [Click here to enter text.](#)

Does the OIG prepare their own LBR for unfunded requirements?

Yes No (explain): I am not aware of any OIG LBR issues, however the process allows for this if needed.

Are OIG reports reviewed by the Agency Head, General Counsel, or any other agency official prior to release?

No Yes

If so, identify who does this review?

An executive summary is typically presented to the affected Deputy Secretary and HR in a review meeting as the final report is being prepared for release. On rare occasions, the General Counsel's office is requested to review a report (typically sexual harassment) prior to its release.

Was this review process requested by the IG, or by the agency head or other official?

The IG met with the Deputy Secretaries and agreed to this process to improve communications and feedback.



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Are all OIG staff centrally located with the IG, or are staff disbursed?

Yes

No

If disbursed, to how many locations and where are they?

4 FTEs conducting Retirement Compliance Audits are located at the Winewood Office Complex on Blairstone Road, co-located with the Division of Retirement.

How many auditors, investigators, administrators and other staff (in those categories) are in the OIG?

Auditors: 3 Internal Audit; 4 Retirement Compliance Audit

Investigators: 2 Investigators

Others: 1 Inspector General, 1 Administrative Assistant III